

**EXPRESS MAIL CERTIFICATE**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

|                       |                    |                  |                   |
|-----------------------|--------------------|------------------|-------------------|
| Typed or Printed Name | Margaret D. Pierce | Express Mail No. | EL 563 388 449 US |
| Signature             | <i>M. Pierce</i>   | Date             | January 26, 2001  |

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                      |  |
|----------------------|--|
| CUSTOMER NO.         | 24353  |
| Docket No.           | IRVN-005CIP  |
| First Named Inventor | Thompson et al.  |
| Title:               | "TREATING TUMORS USING IMPLANTS COMPRISING COMBINATIONS OF ALLOGENEIC CELLS" |

U.S. PTO  
09/771263  
01/26/01

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

Address to: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form *-in duplicate*
2. ☒ Specification Total Pages 66  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Microfiche Appendix  
  
- Background of the Invention  
  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) Total Sheets 07
4. ☒ Oath or Declaration (in counterparts) Total Sheets 4  
  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 16 completed)  
  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)  
  
c. ☐ Unsigned

5. ☐ Microfiche Computer Program (*Appendix*)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
  
a. ☐ Computer Readable Copy  
b. ☐ Paper Copy (identical to computer copy)  
c. ☐ Statement verifying identity of above copies

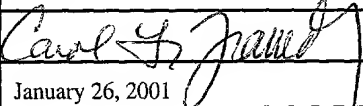
**ACCOMPANYING APPLICATION PARTS**

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☒ The Undersigned hereby asserts that Applicants are entitled to Small Entity status as defined under 37 CFR § 1.27.
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☒ Other: Application Cover Page

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
☒ Continuation-in-part (CIP) of prior application No. 09/169,561, filed October 9, 1998.

**UTILITY PATENT APPLICATION TRANSMITTAL**  
 (Only for new non-provisional applications under 37 CFR 1.53(b))

| 17. CORRESPONDENCE ADDRESS |                                 |           |                |
|----------------------------|---------------------------------|-----------|----------------|
| Individual Name            | Carol L. Francis                |           |                |
| Firm Name                  | BOZICEVIC, FIELD & FRANCIS LLP  |           |                |
| Address                    | 200 Middlefield Road, Suite 200 |           |                |
| City, State, Zip           | Menlo Park, CA 94025            |           |                |
| Country                    | U.S.A.                          |           |                |
| Telephone                  | (650) 327-3400                  | Facsimile | (650) 327-3231 |

| SIGNATURE of Applicant or Assignee of Record |   |
|--|---|
| Individual Name                              | Carol L. Francis  |
| Registration No.                             | 36,513  |
| Signature                                    |  |
| Date   | January 26, 2001  |

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# FEE TRANSMITTAL

Note: Effective October 1, 1998.  
Patent fees are subject to annual revision.

CUSTOMER NO. 24353

Attorney Docket Number IRVN-005CIP

First Named Inventor Thompson et al.

Application Number Unassigned

Filing Date Herewith (January 26, 2001)

Group Art Unit Unassigned

Examiner Name Unassigned

JP986 U.S. PTO  
09/77/263  
01/26/01

## METHOD OF PAYMENT

The Undersigned hereby asserts that Applicants are entitled to Small Entity Status as defined under 37 CFR § 1.27.  
2. ☒ The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815.  
Deposit Account Name: Bozicevic, Field & Francis LLP

## FEE CALCULATION

### 1. FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Due  |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101            | 710             | 201            | 355             | Utility filing fee     | \$355.00 |
| 102            | 320             | 206            | 160             | Design filing fee      |          |
| 104            | 490             | 207            | 245             | Plant filing fee       |          |
| 109            | 710             | 208            | 355             | Reissue filing fee     |          |
| 110            | 150             | 214            | 75              | Provisional filing fee |          |
| Subtotal (1)   |                 |                |                 |                        | \$355.00 |

### 2. CLAIMS

| No. of claims as filed<br>or after amendment | Most claims<br>previously paid | Extra<br>claims | Fee from<br>below | Fee<br>Due |
|--|--------------------------------|-----------------|-------------------|------------|
| Total claims 24                              | 20                             | 4               | \$9.00            | \$36.00    |
| Ind. claims 1                                | 3                              | 0               | \$40.00           | \$0.00     |
| Multiple Dependent claims                    |                                |                 |                   |            |

| Large Fee Code      | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description                                      |
|---------------------|-----------------|----------------|-----------------|--|
| 103                 | 18              | 203            | 9               | Claims in excess of 20                               |
| 102                 | 80              | 202            | 40              | Independent claims in excess of 3                    |
| 104                 | 270             | 204            | 135             | Multiple dependent claim                             |
| 109                 | 80              | 209            | 40              | Reissue independent claims over original patent      |
| 110                 | 18              | 210            | 9               | Reissue claims in excess of and over original patent |
| Subtotal (2)\$36.00 |                 |                |                 |  |

### 3. ADDITIONAL FEES

| Large Fee Code      | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Due |
|---------------------|-----------------|----------------|-----------------|--|---------|
| 105                 | 130             | 205            | 65              | Surcharge - late filing fee or oath                            |         |
| 139                 | 130             | 139            | 130             | Non-English specification                                      |         |
| 115                 | 110             | 215            | 55              | Ext. for reply within first month                              |         |
| 117                 | 890             | 217            | 445             | Ext. for reply within third month                              |         |
| 128                 | 1,890           | 228            | 945             | Ext. for reply within fifth month                              |         |
| 120                 | 310             | 220            | 155             | Filing brief in support of appeal                              |         |
| 140                 | 110             | 240            | 55              | Petition to revive - unavoidable                               |         |
| 142                 | 1,240           | 242            | 620             | Utility issue fee (or reissue)                                 |         |
| 123                 | 50              | 123            | 50              | Petitions related to prov. appl.                               |         |
| 146                 | 710             | 246            | 355             | Filing submission after final rejection (37 CFR 1.129(a))      |         |
| 112                 | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action         |         |
| 143                 | 440             | 243            | 220             | Design issue fee   |         |
| 581                 | 40              | 581            | 40              | Recording patent assignment                                    |         |
| 127                 | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet         |         |
| 147                 | 2,520           | 147            | 2,520           | Filing a request for reexamination                             |         |
| 116                 | 390             | 216            | 195             | Ext. for reply within second month                             |         |
| 118                 | 1,390           | 218            | 695             | Ext. for reply within fourth month                             |         |
| 119                 | 310             | 219            | 155             | Notice of Appeal   |         |
| 121                 | 270             | 221            | 135             | Request for oral hearing                                       |         |
| 141                 | 1,240           | 241            | 620             | Petition to revive - unintentional                             |         |
| 122                 | 130             | 122            | 130             | Petitions to the Commissioner                                  |         |
| 126                 | 180             | 126            | 180             | Submission of IDS  |         |
| 149                 | 710             | 249            | 355             | For each additional invention to be examined (37 CFR 1.129(b)) |         |
| 113                 | 1840*           | 113            | 1840*           | Requesting publication of SIR after Examiner action            |         |
| 144                 | 600             | 244            | 300             | Plant issue fee  |         |
| Other fee (specify) |                 |                |                 |  |         |

SUBTOTAL (3)\$0.00

TOTAL AMOUNT TO BE CHARGED (\$391.00)

### SUBMITTED BY

|                       |   |                 |                  |
|-----------------------|---|-----------------|------------------|
| Typed or Printed Name | Carol L. Francis, BOZICEVIC, FIELD & FRANCIS LLP                                    | Reg. Number     | 36,513           |
| Signature             |  | Date            | January 26, 2001 |
|                       |   | Deposit Account | 50-0815          |

"Express Mail" Mailing Label No. EL 563 388 449 US

Atty Docket No. IRVN-005CIP

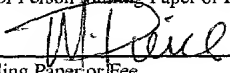
UC Reference: 98-052-3

Date of Deposit January 26, 2001

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Margaret D. Pierce

Typed or Printed Name of Person Mailing Paper or Fee

  
Signature of Person Mailing Paper or Fee

## CONTINUATION-IN-PART PATENT APPLICATION

"TREATING TUMORS USING IMPLANTS COMPRISING COMBINATIONS OF ALLOGENEIC CELLS"

2001 JAN 26 10 26 AM

Carol L. Francis  
Registration No. 36,513  
BOZICEVIC, FIELD & FRANCIS LLP  
200 Middlefield Road, Suite 200  
Menlo Park, CA 94025

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